

# Preimages for Step-Reduced SHA-2

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**Abstract.** In this paper, we present preimage attacks on up to 43-step SHA-256 (around 67% of the total 64 steps) and 46-step SHA-512 (around 57.5% of the total 80 steps), which significantly increases the number of attacked steps compared to the best previously published preimage attack working for 24 steps. The time complexities are  $2^{251.9}$ ,  $2^{509}$  for finding pseudo-preimages and  $2^{254.9}$ ,  $2^{511.5}$  compression function operations for full preimages. The memory requirements are modest, around  $2^6$  words for 43-step SHA-256 and 46-step SHA-512. The pseudo-preimage attack also applies to 43-step SHA-224 and SHA-384. Our attack is a meet-in-the-middle attack that uses a range of novel techniques to split the function into two independent parts that can be computed separately and then matched in a birthday-style phase.

**Keywords:** SHA-256, SHA-512, hash, preimage attack, meet-in-the-middle.

## 1 Introduction

Cryptographic hash functions are important building blocks of many secure systems. SHA-1 and SHA-2 (SHA-224, SHA-256, SHA-384, and SHA-512) [1] are hash functions standardized by the National Institute of Standards and Technology (NIST) and widely used all over the world. However, a collision attack on SHA-1 has been discovered recently by Wang *et al.* [2]. Since the structure of SHA-2 is similar to SHA-1 and they are both heuristic designs with no known

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\* This work was done while visiting Technical University of Denmark and was partly supported by a DCAMM grant.

security guarantees or reductions, an attack on SHA-2 might be discovered in the future too. To avoid a situation when all FIPS standardized functions would be broken, NIST is currently conducting a competition to determine a new hash function standard called SHA-3 [3]. From the engineering viewpoint, migration from SHA-1 to SHA-3 will take a long time. SHA-2 will take an important role during that transitional period. Hence, rigorous security evaluation of SHA-2 using the latest analytic techniques is important.

NIST requires SHA-3 candidates of  $n$ -bit hash length to satisfy a several security properties [3], first and foremost

- Preimage resistance of  $n$  bits,
- Second-preimage resistance of  $n - k$  bits for any message shorter than  $2^k$  blocks,
- Collision resistance of  $n/2$  bits.

NIST claims that the security of each candidate is evaluated in the environment where they are tuned so that they run as fast as SHA-2 [4]. It seems that NIST tries to evaluate each candidate by comparing it with SHA-2. However, the security of SHA-2 is not well understood yet. Hence, the evaluation of the security of SHA-2 with respect to the security requirements for SHA-3 candidates is also important as it may influence our perspective on the SHA-3 speed requirements.

SHA-256 and SHA-512 consist of 64 steps and 80 steps, respectively. The first analysis of SHA-2 with respect to collision resistance was described by Mendel *et al.* [5], which presented the collision attack on SHA-2 reduced to 19 steps. After that, several researches have improved the result. In particular, the work by Nikolić and Biryukov improved the collision techniques [6]. The best collision attacks so far are the ones proposed by Indestege *et al.* [7] and Sanadhya and Sarkar [8], both describing collision attacks for 24 steps. The only analysis of preimage resistance we are aware of is a recent attack on 24 steps of SHA-2 due to Isobe and Shibutani [9].

One may note the work announced at the rump session by Yu and Wang [10], which claimed to have found a non-randomness property of SHA-256 reduced to 39 steps. Since the non-randomness property is not included in the security requirements for SHA-3, we do not discuss it in this paper. In summary, the current best attacks on SHA-2 with respect to the security requirements for SHA-3 work for only 24 steps.

After Saarinen [11] and Leurent [12] showed examples of meet-in-the-middle preimage attacks, the techniques for such preimage attacks have been developed very rapidly. Attacks based on the concept of meet-in-the-middle have been reported for various hash functions, for example MD5 [13], SHA-1, HAVAL [14], and so on [15,16,17,18]. The meet-in-the-middle preimage attack is also applied to recently designed hash function ARIRANG [19], which is one of SHA-3 candidates, by Hong *et al.* [20]. However, due to the complex message schedule in SHA-2, these recently developed techniques have not been applied to SHA-2 yet.

**Our contribution.** We propose preimage attacks on 43-step SHA-256 and 46-step SHA-512 which drastically increase the number of attacked steps compared

to the previous preimage attack on 24 steps. We first explain various attack techniques for attacking SHA-2. We then explain how to combine these techniques to maximize the number of attacked steps. It is interesting that more steps of SHA-512 can be attacked than of SHA-256 with so-called partial-fixing technique proposed by Aoki and Sasaki [15]. This is due to the difference of the word size as functions  $\sigma$  and  $\Sigma$  mix 32-bit variables in SHA-256 more rapidly than in the case of double-size variables in SHA-512.

Our attacks are meet-in-the-middle. We first consider the application of the previous meet-in-the-middle techniques to SHA-2. We then analyse the message expansion of SHA-2 by considering all previous techniques and construct the attack by finding new independent message-word partition, which is the fundamental part of this attack.

Our attacks and a comparison with other results are summarized in Table 1.

**Table 1.** Comparison of preimage attacks on reduced SHA-2

Reference		Target	Steps	Complexity		Memory (approx.)
				Pseudo-preimage	Preimage	
Ours	Section 7	SHA-224	43	$2^{219.9}$	-	$2^6$ words
[9]		SHA-256	24	$2^{240}$	$2^{240}$	$2^{16} \cdot 64$ bits
Ours	Section 5	SHA-256	42	$2^{245.3}$	$2^{251.7}$	$2^{12}$ words
Ours	Section 5	SHA-256	43	$2^{251.9}$	$2^{254.9}$	$2^6$ words
Ours	Section 7	SHA-384	43	$2^{366}$	-	$2^{19}$ words
[9]		SHA-512	24	$2^{480}$	$2^{480}$	not given
Ours	Section 6	SHA-512	42	$2^{488}$	$2^{501}$	$2^{27}$ words
Ours	Section 6	SHA-512	46	$2^{509}$	$2^{511.5}$	$2^6$ words

**Outline.** In Section 2, we briefly describe SHA-2. Section 3 gives an overview of the meet-in-the-middle preimage attack. In Section 4, we describe all techniques of our preimage attack. Then Sections 5 and 6 explain how these techniques can be applied together to mount an attack on SHA-256 and SHA-512, respectively. In Section 7, we put some remark on our attack. Section 8 concludes this paper.

## 2 SHA-2 Specification

**Description of SHA-256.** In this section we describe SHA-256, consult [1] for full details. SHA-256 adopts the Merkle-Damgård structure [21, Algorithm 9.25]. The message string is first padded with a single “1” bit, appropriate number of zero bits and then 64-bit length of the original message so that the length of the padded message is a multiple of 512 bits and then divided into 512-bit blocks,  $(M_0, M_1, \dots, M_{N-1})$  where  $M_i \in \{0, 1\}^{512}$ .

The hash value  $h_N$  is computed by iteratively using the compression function CF, which takes a 512-bit message block and a 256-bit chaining variable as the input and yields an updated 256-bit chaining variable as the output,

$$\begin{cases} h_0 \leftarrow IV, \\ h_{i+1} \leftarrow CF(h_i, M_i) \quad (i = 0, 1, \dots, N - 1), \end{cases} \quad (1)$$

where  $IV$  is a constant value defined in the specification.

The compression function is based on the Davies-Meyer mode [21, Algorithm 9.42]. It consists of a message expansion and a data processing. Let  $\gg^x$  and  $\ggg^x$  denote the  $x$ -bit right shift and rotation, respectively. First, the message block is expanded by the message expansion function,

$$W_i \leftarrow \begin{cases} m_i & \text{for } 0 \leq i < 16, \\ \sigma_1(W_{i-2}) + W_{i-7} + \sigma_0(W_{i-15}) + W_{i-16} & \text{for } 16 \leq i < 64. \end{cases} \quad (2)$$

where  $(m_0, m_1, \dots, m_{15}) \leftarrow M_i$  ( $m_j \in \{0, 1\}^{32}$ ) and “+” denotes addition modulo  $2^{\text{word-size}}$ . In SHA-256 the word size is 32 bits. Functions  $\sigma_0(X)$  and  $\sigma_1(X)$  are defined as

$$\begin{aligned} \sigma_0(X) &\leftarrow (X \ggg^7) \oplus (X \ggg^{18}) \oplus (X \gg^3), \\ \sigma_1(X) &\leftarrow (X \ggg^{17}) \oplus (X \ggg^{19}) \oplus (X \gg^{10}). \end{aligned} \quad (3)$$

where “ $\oplus$ ” stands for bitwise XOR operation.

Let us use  $p_j$  to denote a 256-bit value consisting of the concatenation of eight words  $A_j, B_j, C_j, D_j, E_j, F_j, G_j$  and  $H_j$ . The data processing computes  $h_{i+1}$  as follows.

$$\begin{cases} p_0 \leftarrow h_i, \\ p_{j+1} \leftarrow R_j(p_j, W_j), \quad (j = 0, 1, \dots, 63) \\ h_{i+1} \leftarrow h_i + p_{64}, \end{cases} \quad (4)$$

Step function  $R_j$  is defined as follows

$$\begin{cases} T_1^{(j)} \leftarrow H_j + \Sigma_1(E_j) + \text{Ch}(E_j, F_j, G_j) + K_j + W_j, \\ T_2^{(j)} \leftarrow \Sigma_0(A_j) + \text{Maj}(A_j, B_j, C_j), \\ A_{j+1} \leftarrow T_1^{(j)} + T_2^{(j)}, \quad B_{j+1} \leftarrow A_j, \quad C_{j+1} \leftarrow B_j, \quad D_{j+1} \leftarrow C_j, \\ E_{j+1} \leftarrow D_j + T_1^{(j)}, \quad F_{j+1} \leftarrow E_j, \quad G_{j+1} \leftarrow F_j, \quad H_{j+1} \leftarrow G_j. \end{cases} \quad (5)$$

Above,  $K_j$  is a constant, different for each step, and the following functions are used

$$\begin{aligned} \text{Ch}(X, Y, Z) &\leftarrow (X \vee Y) \oplus ((\neg X) \vee Z), \\ \text{Maj}(X, Y, Z) &\leftarrow (X \vee Y) \oplus (X \vee Z) \oplus (Y \vee Z), \\ \Sigma_0(X) &\leftarrow (X \ggg^2) \oplus (X \ggg^{13}) \oplus (X \ggg^{22}), \\ \Sigma_1(X) &\leftarrow (X \ggg^6) \oplus (X \ggg^{11}) \oplus (X \ggg^{25}). \end{aligned} \quad (6)$$

where  $\neg$  means bitwise negation of the word.

**Description of SHA-512.** The structure of SHA-512 is basically the same as SHA-256. In SHA-512, the word size is 64 bits, double of SHA-256, hence, the message-block size is 1024 bits and the size of chaining variable  $p_j$  is 512 bits.

The compression function has 80 steps. Rotation numbers in  $\sigma_0, \sigma_1, \Sigma_0$ , and  $\Sigma_1$  are different from those used in SHA-256, which are shown below.

$$\begin{aligned}
 \sigma_0(X) &\leftarrow (X \ggg^1) \oplus (X \ggg^8) \oplus (X \gg^7), \\
 \sigma_1(X) &\leftarrow (X \ggg^{19}) \oplus (X \ggg^{61}) \oplus (X \gg^6), \\
 \Sigma_0(X) &\leftarrow (X \ggg^{28}) \oplus (X \ggg^{34}) \oplus (X \ggg^{39}), \\
 \Sigma_1(X) &\leftarrow (X \ggg^{14}) \oplus (X \ggg^{18}) \oplus (X \ggg^{41}).
 \end{aligned}
 \tag{7}$$

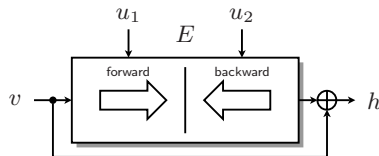
### 3 Overview of the Meet-in-the-Middle Preimage Attack

A preimage attack on a narrow-pipe Merkle-Damgård hash function is usually based on a pseudo-preimage attack on its underlying compression function, where a pseudo-preimage is a preimage of the compression function with an appropriate padding. Many compression functions adopt Davies-Meyer mode, which computes  $E_u(v) \oplus v$ , where  $u$  is the message,  $v$  is the intermediate hash value and  $E$  is a block cipher.

First we recall the attack strategy on a compression function, which has been illustrated in Fig. 1. Denote by  $h$  the given target hash value. The high-level description of the attack for the simplest case is as follows.

1. Divide the key  $u$  of the block cipher  $E$  into two *independent* parts:  $u_1$  and  $u_2$ . Hereafter, independent parts are called “chunks” and independent inputs  $u_1$  and  $u_2$  are called “neutral words”.
2. Randomly determine the other input value  $v$  of the block cipher  $E$ .
3. Carry out the forward calculation utilizing  $v$  and all possible values of  $u_1$ , and store all the obtained intermediate values in a table  $T_F$ .
4. Carry out the backward calculation utilizing  $h \oplus v$  and all possible values of  $u_2$ , and store all the intermediate values in a table  $T_B$ .
5. Check whether there exists a collision between  $T_F$  and  $T_B$ . If a collision exists, a pseudo-preimage of  $h$  has been generated. Otherwise, go to Step 2.

The main novelty of the meet-in-the-middle preimage attacks is, by utilizing independence of  $u_1$  and  $u_2$  of the key input, transforming the problem of finding a preimage of  $h$  to the problem of finding a collision on the intermediate values, which has a much lower complexity than the former one. Suppose there



**Fig. 1.** Meet-in-the-middle attack strategy on a Davies-Meyer compression function  $E_u(v) \oplus v$

are  $2^t$  possible values for each of  $u_1$  and  $u_2$ . Using  $2^t$  compression function computations, the attacker obtains  $2^t$  elements in *each* of  $T_F$  and  $T_B$ . The collision probability is roughly  $2^{2t-n}$ , where  $n$  is the bit length of  $h$ , much better than the probability  $2^{t-n}$  of finding a preimage by a brute force search with complexity  $2^t$ .

## 4 The List of Attack Techniques

This section describes the list of techniques used in the attack. Some of them were used before in previous meet-in-the-middle attacks [15,18,16]. We explain them here first and then in Sections 5 and 6, we show how to combine them in an attack on SHA-2.

### 4.1 Splice-and-Cut

The meet-in-the-middle attack starts with dividing the key input into two independent parts. The idea of *splice-and-cut* is based on the observation made in [15] that the last and first steps of the block cipher  $E$  in Davies-Meyer mode can be regarded as consecutive by considering the feed-forward operation.

This allows the attacker to choose any step as the starting step of the meet-in-the-middle, which helps with finding more suitable independent chunks.

This technique can find only pseudo-preimages of the given hash value instead of preimages. However, pseudo-preimages can be converted to preimages with a conversion algorithm explained below.

### 4.2 Converting Pseudo-preimages to Preimages

In  $x$ -bit iterated hash functions, a pseudo-preimage attack with complexity  $2^y$ ,  $y < x - 2$  can be converted to a preimage attack with complexity of  $2^{\frac{x+y}{2}+1}$  [21, Fact9.99]. The idea is applying the unbalanced meet-in-the-middle attack with generating  $2^{(x-y)/2}$  pseudo-preimages and generating  $2^{(x+y)/2}$  1-block chaining variables starting from IV.

### 4.3 Partial-Matching

The example in Fig. 1 is the simplest and optimistic case. In fact, in the previous attacks, the key input cannot be divided into just two independent chunks. Usually besides the two independent chunks  $u_1$  and  $u_2$ , there is another part, which depends on both  $u_1$  and  $u_2$ . Hence, the stored intermediate values in  $T_F$  and  $T_B$  are ones at different steps. This raises a problem: how the values in  $T_F$  and  $T_B$  can be compared. However, many hash functions, including SHA-2, have Unbalanced Feistel Network structure, where the intermediate values will only be updated partially at one step. This means that a part of the intermediate values does not change during several steps and the attacker can check the match of two values partially.

Consider SHA-2, assume one chunk produces the value of  $p_j$  and the other chunk produces the value of  $p_{j+s}$ . The attacker wants to efficiently check whether or not  $p_j$  and  $p_{j+s}$  match without the knowledge of  $W_j, W_{j+1}, \dots, W_{j+s-1}$ . In SHA-2, the maximum number of  $s$  is 7.

Assume the value of  $p_{j+7} = A_{j+7} \parallel B_{j+7} \parallel \dots \parallel H_{j+7}$  is known and  $W_{j+6}$  is unknown. By backward computation, we can obtain the values of  $A_{j+6}, B_{j+6}, \dots, G_{j+6}$ . This is because  $A_{j+6}, B_{j+6}, C_{j+6}, E_{j+6}, F_{j+6}$ , and  $G_{j+6}$  are just copies of corresponding values in  $p_{j+7}$  and  $D_{j+6}$  is computed as follows.

$$D_{j+6} \leftarrow E_{j+7} - (A_{j+7} - (\Sigma_0(B_{j+7}) + \text{Maj}(B_{j+7}, C_{j+7}, D_{j+7}))). \quad (8)$$

By repeating the similar computation, in the end,  $A_j$  is computed from  $p_{j+7}$  without the knowledge of  $W_j, W_{j+1}, \dots, W_{j+6}$ . Note that this technique was already used (but not explicitly named) in [9].

#### 4.4 Partial-Fixing

This is an extension of the partial-matching technique that considers *parts* of registers of the internal state. It increases the number of steps that can exist between two independent chunks. Assume that the attacker is carrying out the computation using  $u_1$  and he is facing a step whose key input depends on both  $u_1$  and  $u_2$ . Because the computation cannot go ahead without the knowledge of  $u_2$ , the chunk for  $u_1$  must stop at this step. The *partial-fixing* technique is partially fixing the values of  $u_1$  and  $u_2$  so that we can obtain partial knowledge even if the full computation depends on both  $u_1$  and  $u_2$ .

The partial-fixing technique for SHA-2 has not been considered previously. Assume we can fix the lower  $x$  bits of the message word in each step. Under this assumption, 1 step can be partially computed easily. Let us consider the step function of SHA-2 in the forward direction. Equations using  $W_j$  is as follows.

$$\begin{cases} T_1^{(j)} \leftarrow H_j + \Sigma_1(E_j) + \text{Ch}(E_j, F_j, G_j) + K_j + W_j, \\ A_{j+1} \leftarrow T_1^{(j)} + T_2^{(j)}, \quad E_{j+1} \leftarrow D_j + T_1^{(j)}. \end{cases} \quad (9)$$

If the lower  $x$  bits of  $W_j$  are fixed, the lower  $x$  bits of  $A_{j+1}$  (and  $E_{j+1}$ ) can be computed independently of the upper  $32 - x$  bits of  $W_j$ . Let us consider to skip another step in forward direction. The equation for  $A_{j+2}$  is as follows:

$$A_{j+2} \leftarrow T_1^{(j+1)} + \Sigma_0(A_{j+1}) + \text{Maj}(A_{j+1}, B_{j+1}, C_{j+1}). \quad (10)$$

We know only the lower  $x$  bits on  $A_{j+1}$ . Hence, we can compute Maj function for only the lower  $x$  bits. How about the  $\Sigma_0$  function? We analysed the relationship of the number of consecutive fixed bits from LSB in the input and output of  $\sigma_0, \sigma_1, \Sigma_0$ , and  $\Sigma_1$ . The results are summarized in Table 2.

From Table 2, if  $x$  is large enough, we can compute the lower  $x - 22$  bits of  $A_{j+2}$  in SHA-256 and the lower  $x - 39$  bits in SHA-512, though the number of known bits is greatly reduced after the  $\Sigma_0$  function. This fact also implies

**Table 2.** Relationship of number of consecutive fixed bits from LSB in input and output of  $\sigma$  and  $\Sigma$

	SHA-256				SHA-512			
	$\Sigma_0$	$\Sigma_1$	$\sigma_0$	$\sigma_1$	$\Sigma_0$	$\Sigma_1$	$\sigma_0$	$\sigma_1$
Input	$x$	$x$	$x$	$x$	$x$	$x$	$x$	$x$
output	$x - 22$	$x - 25$	$x - 18$	$x - 19$	$x - 39$	$x - 41$	$x - 8$	$x - 61$

When  $x$  agrees with the word size, the output is  $x$ . When the number described in the output is negative, the output is 0.

that we cannot obtain the value of  $A_{j+3}$  since the number of fixed bits will be always 0. In the end, the partial-fixing technique can be applied for up to 2 steps in forward direction. Similarly, we considered the partial-fixing technique in backward, and found that it can be applied up to 6 steps.

However we have another problem in the first assumption; the lower  $x$  bits of each message word can be fixed. This is difficult to achieve because the fixed bits in message words are mixed by the  $\sigma$  function in the message expansion. In fact, we could apply the partial-fixing technique for computing only 1 step in forward, and only 2 steps in backward for SHA-256. However, in SHA-512, the bit-mixing speed of  $\sigma$  is relatively slow due to the double word size. In fact, we could compute 2 steps in forward, and 6 steps in backward. Finally, 10 steps in total can be skipped by the partial-matching and partial-fixing techniques for SHA-256, and 15 steps for SHA-512. (These numbers of steps are explained in Sections 5 and 6.)

### 4.5 Indirect-Partial-Matching

This is another extension of partial-matching. Consider the intermediate values in  $T_F$  and  $T_B$ . We can express them as functions of  $u_1$  and  $u_2$ , respectively. If the next message word used in forward direction can be expressed as  $\psi_1(u_1) + \psi_2(u_2)$  and computation of chaining register at the matching point does not destroy this relation (because the message word is also added), the matching point can still be expressed as a sum of two independent functions of  $u_1, u_2$ , e.g.  $\psi_F(u_1) + \xi_F(u_2)$ . Similarly, we can express the matching point from backward as  $\psi_B(u_1) + \xi_B(u_2)$ , and we are to find match. Now, instead of finding a match directly, we can compute  $\psi_F(u_1) - \psi_B(u_1)$  in forward direction and  $\xi_B(u_2) - \xi_F(u_2)$  in backward direction independently and find a match.

In case of SHA-2, it is possible to extend the 7-step partial-matching to 9-step indirect-partial-matching by inserting one step just before and after the partial matching.

Note this technique can be combined with partial-fixing technique by applying them in order: partial-fixing, partial-matching and indirect-partial-matching. However, there are some constraints that need to be satisfied, such as the independence of message word used in indirect-partial-matching, while we need to be able to compute enough bits at the matching point in order to carry out the partial-matching efficiently.



## 4.6 Initial Structure

In some cases, the two independent chunks  $u_1$  and  $u_2$  will overlap with each other. The typical example is that the order of the input key of  $E$  is  $u_1u_2u_1u_2$ . This creates a problem: how should the attacker carry out the forward and backward computations independently. The *Initial Structure* technique was proposed by [16] to solve such a problem. Previous attacks usually set a certain step as the starting step, then randomly determine the intermediate value at that step, and carry out the independent computations. However, the initial structure technique sets all the steps of  $u_2u_1$  in the middle of  $u_1u_2u_1u_2$  together as the starting point. Denote the intermediate values at the beginning and last step of  $u_2u_1$  as  $I_1$  and  $I_2$  respectively. For each possible value of  $u_1$ , the attacker can derive a corresponding value  $I_1$ . Similarly, for each possible value of  $u_2$ , the attacker can derive a corresponding value  $I_2$ . Moreover, any pair  $(I_1, u_1)$  and  $(I_2, u_2)$  can be matched at the steps of  $u_2u_1$  of  $u_1u_2u_1u_2$ . Thus, the attacker can carry out independent computations utilizing  $(I_1, u_1)$  and  $(I_2, u_2)$ .

Initial structure for SHA-2 makes use of the absorption property of the function  $\text{Ch}(x, y, z) = xy \oplus (-x)z$ . If  $x$  is  $\mathbf{1}$  (all bits are 1), then  $\text{Ch}(\mathbf{1}, y, z) = y$  which means  $z$  does not affect the result of Ch function in this case; similarly when  $x$  is  $\mathbf{0}$  (all bits are 0),  $y$  does not affect the result. When we want to control partial output (few bits), we need to fix the corresponding bits of  $x$  instead of all bits of  $x$ .

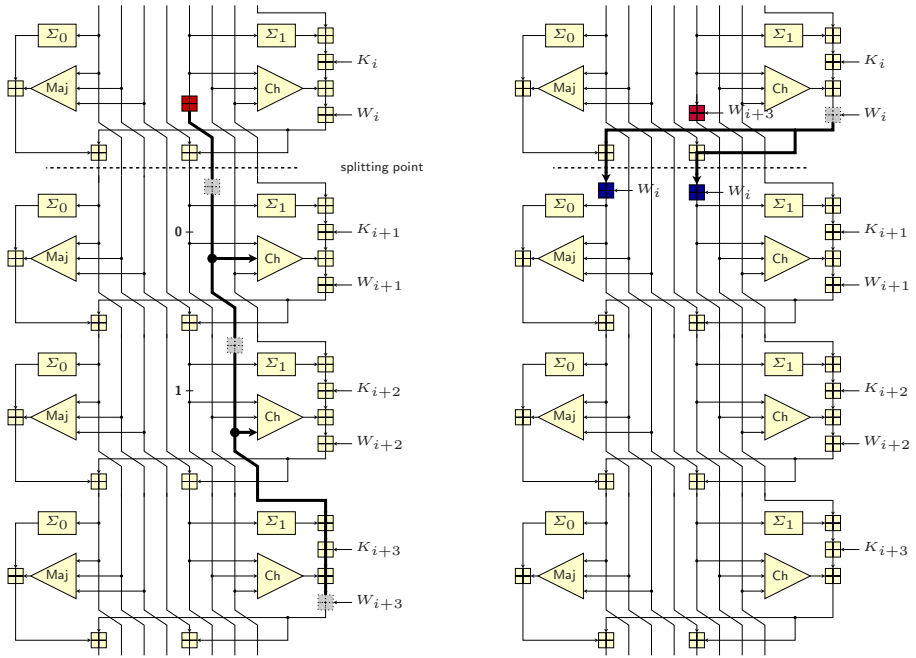
We consider 4 consecutive step functions, i.e. from step  $i$  to step  $i + 3$ . We show that, under certain conditions, we can move the last message word  $W_{i+3}$  to step  $i$  and move  $W_i$  to step  $i + 1$  while keeping the final output after step  $i + 3$  unchanged.

Assume we want to transfer upwards a message word  $W_{i+3}$ . Due to the absorption property of Ch, we can move  $W_{i+3}$  to step  $i + 2$  (adding it to register  $G_{i+2}$ ) if all the bits of  $E_{i+2}$  are fixed to 1. This is illustrated in Fig. 2 (left). Similarly, we can further move  $W_{i+3}$  to step  $i + 1$  (adding it to register  $F_{i+1}$ ) if all the bits of  $E_{i+1}$  are 0. Then, we still can move it upwards by transferring it to register  $E_i$  after step transformation in step  $i$ .

The same principle applies if we want to transfer only part of the register  $W_{i+3}$ . If  $l$  most significant bits (MSB) of  $W_{i+3}$  are arbitrary and the rest is set to zero (to avoid interference with addition on least significant bits), we need to fix  $l$  MSB of  $E_{i+2}$  to one and  $l$  MSB of  $E_{i+1}$  to zero.

As  $l$  MSB of  $E_{i+1}$  need to be 0, we need to use  $l$  MSB of  $W_i$  to satisfy this requirement. This reduces the space of  $W_i$  to  $2^{32-l}$ . Similarly, we need to choose those  $W_i$  that fix  $l$  MSB of  $E_{i+2}$  to one. This is possible because changing the value of  $W_i$  influences the state of register  $E_{i+2}$  through  $\Sigma_1$  at step  $i + 1$ . We experimentally checked that changing  $W_i$  generates changes in  $E_{i+2}$  that are sufficiently close to uniformly distributed. Satisfying additional constraints on  $l$  bits further reduces the space of  $W_i$  to  $2^{32-2l}$ .

The important thing to note here is that if we fix the values of  $F_{i+1}$ ,  $G_{i+1}$  and of the sum  $D_{i+1} + H_{i+1}$  we can precompute the set of good values for  $W_i$  and store them in a table. Then, we can later recall them at negligible cost.



**Fig. 2.** Initial structure for SHA-2 allows to move the addition of  $W_{i+3}$  upwards provided that the Ch functions absorb the appropriate inputs (left); move  $W_i$  one step downwards (right)

On the other hand, message word  $W_i$  can be moved to step  $i + 1$  with no constraint, as shown in Fig. 2 (right).

This procedure essentially swaps the order of words  $W_i$  and  $W_{i+3}$ .

### 4.7 Two-Way Expansion

Message expansion usually works in such a way that some consecutive several messages can determine the rest. For SHA-2, any consecutive 16 message words can determine the rest since the message expansion is a bijective mapping. This enables us to control any intermediate 16 message words and then expand the rest in both ways. This technique gives us more freedom of choices of neutral words, and extends the number of steps for the two chunks a lot. Note that the maximum number of consecutive steps for the two chunks is 30 for SHA-2. Since the message expansion is a bijective mapping, no matter which neutral word is chosen, it must be used to compute at least one of the any consecutive 16 message words. So each chunk of consecutive steps is of length at most 15.

### 4.8 Message Compensation

For some choice of neutral words, two chunks are not able to achieve the optimal length. By forcing some of the other message words to cancel the change introduced by neutral words, the optimal or near-optimal length could be achieved.

Combining the initial structure, two-way expansion and message compensation techniques, we are able to find two chunks of length 33. We choose to control on  $\{W_z, \dots, W_{z+15}\}$ , for some  $z$  which we will determine later. We choose  $W_{z+5}$  and  $W_{z+8}$  as neutral words. We show the first chunk  $\{W_{z-10}, \dots, W_{z+4}, W_{z+8}\}$  to be independent from  $W_{z+5}$  and second chunk  $\{W_{z+5}, W_{z+6}, W_{z+7}, W_{z+9}, \dots, W_{z+22}\}$  to be independent from  $W_{z+8}$ . Note that  $W_{z+8}$  is “moved” to first chunk by method explained in initial structure. For forward direction, we need to show  $\{W_{z-10}, \dots, W_{z-1}\}$  are independent from  $W_{z+5}$  when they are expanded from  $\{W_z, \dots, W_{z+15}\}$ .

$$W_{z-1} = W_{z+15} - \sigma_1(W_{z+13}) - W_{z+8} - \sigma_0(W_z) , \tag{11}$$

$$W_{z-2} = W_{z+14} - \sigma_1(W_{z+12}) - W_{z+7} - \sigma_0(W_{z-1}) , \tag{12}$$

$$W_{z-3} = W_{z+13} - \sigma_1(W_{z+11}) - W_{z+6} - \sigma_0(W_{z-2}) , \tag{13}$$

$$W_{z-4} = W_{z+12} - \sigma_1(W_{z+10}) - \mathbf{W}_{z+5} - \sigma_0(W_{z-3}) , \tag{14}$$

$$W_{z-5} = W_{z+11} - \sigma_1(W_{z+9}) - W_{z+4} - \sigma_0(W_{z-4}) , \tag{15}$$

$$W_{z-6} = W_{z+10} - \sigma_1(W_{z+8}) - W_{z+3} - \sigma_0(W_{z-5}) , \tag{16}$$

$$W_{z-7} = W_{z+9} - \sigma_1(W_{z+7}) - W_{z+2} - \sigma_0(W_{z-6}) , \tag{17}$$

$$W_{z-8} = W_{z+8} - \sigma_1(W_{z+6}) - W_{z+1} - \sigma_0(W_{z-7}) , \tag{18}$$

$$W_{z-9} = W_{z+7} - \sigma_1(\mathbf{W}_{z+5}) - W_z - \sigma_0(W_{z-8}) , \tag{19}$$

$$W_{z-10} = W_{z+6} - \sigma_1(W_{z+4}) - W_{z-1} - \sigma_0(W_{z-9}) . \tag{20}$$

We note that  $W_{z+5}$  is used in (19) and (14), we compensate them by using  $W_{z+7}$  and  $W_{z+12}$ . By “compensating” we mean making the equation value independent from  $W_{z+5}$  by forcing  $W_{z+7} - \sigma_1(W_{z+5}) = C$  ( $C$  is some constant, we use 0 for simplicity) and  $W_{z+12} - W_{z+5} = C$ .  $W_{z+7}$  is also used in (17), however we can use  $W_{z+9}$  to compensate for it, i.e. set  $W_{z+9} = \sigma_1(W_{z+7}) = \sigma_1^2(W_{z+5})$ . Then  $W_{z+9}$  and  $W_{z+12}$  are used in steps above, so we continue this recursively and finally have the following constraints that ensure the proper compensation of values of  $W_{z+5}$ .

$$\begin{aligned} W_{z+7} &= \sigma_1(W_{z+5}) , \\ W_{z+9} &= \sigma_1^2(W_{z+5}) , \\ W_{z+11} &= \sigma_1^3(W_{z+5}) , \\ W_{z+13} &= \sigma_1^4(W_{z+5}) , \\ W_{z+15} &= \sigma_1^5(W_{z+5}) , \\ W_{z+12} &= W_{z+5} , \\ W_{z+14} &= 2\sigma_1(W_{z+5}) . \end{aligned} \tag{21}$$

The second chunk is independent from  $W_{z+8}$  automatically without any compensation. The 33-step two-chunk is valid regardless of the choice of  $z$  as long as  $z > 10$ . To simplify the notation, we use  $W_j, \dots, W_{j+32}$  to denote the two chunks, then  $W_{j+15}$  and  $W_{j+18}$  are the two neutral words. We reserve the final choice of  $j$  for later to pick the one that allows to attack the most steps, as described later.

## 5 Preimage Attack against 43 Steps SHA-256

### 5.1 Number of Attacked Steps

The attack on SHA-256 uses 33-step two-chunk  $W_j, \dots, W_{j+32}$  explained in Section 4. Hence, in forward direction,  $p_{j+33}$  can be computed independently of the other chunk and in backward direction,  $p_j$  can be computed independently of the other chunk. We extend the number of attacked steps as much as possible with partial-fixing (PF) and indirect-partial-matching (IPM) techniques.

**Forward computation of  $A_{j+34}$ :** The equation for  $A_{j+34}$  is as follows.

$$\begin{cases} A_{j+34} = \Sigma_0(A_{j+33}) + \text{Maj}(A_{j+33}, B_{j+33}, C_{j+33}) + H_{j+33} \\ \quad + \Sigma_1(E_{j+33}) + \text{Ch}(E_{j+33}, F_{j+33}, G_{j+33}) + K_{j+33} + W_{j+33}, \\ W_{j+33} = \sigma_1(W_{j+31}) + W_{j+26} + \sigma_0(W_{j+18}) + W_{j+17} \end{cases}$$

We can use either PF or IPM to compute  $A_{j+34}$ . If we use PF, we fix the lower  $l$  bits of  $W_{j+18}$ , which is a neutral word for the other chunk. According to Table 2, this fixes the lower  $l - 18$  bits of  $\sigma_0(W_{j+18})$ . Finally, the lower  $l - 18$  bits of  $A_{j+34}$  can be computed. If we use IPM, we describe  $A_{j+34}$  as a sum of functions of each neutral words i.e.  $A_{j+34} = \psi_F(W_{j+15}) + \xi_F(W_{j+18})$ . From the above equations, they can be easily done. Note that IPM is more efficient than PF with respect to only computing  $A_{j+34}$  because IPM does not need to fix a part of neutral word.

**Forward computation of  $A_{j+35}$ :** The equation for  $A_{j+35}$  is as follows.

$$\begin{cases} A_{j+35} = \Sigma_0(A_{j+34}) + \text{Maj}(A_{j+34}, B_{j+34}, C_{j+34}) + \dots + W_{j+34}, \\ W_{j+34} = \sigma_1(W_{j+32}) + W_{j+27} + \sigma_0(W_{j+19}) + W_{j+18} \end{cases}$$

Neither PF nor IPM can compute  $A_{j+35}$ . If we used PF for  $A_{j+34}$ , only the lower  $l - 18$  bits are known. This makes all bits of  $A_{j+35}$  unknown after the computation of  $\Sigma_0(A_{j+34})$ . If we used IPM,  $A_{j+34}$  is described as a sum of two independent functions. However, because  $\Sigma_0$  consists of XOR of three self-rotations, it seems difficult to describe  $\Sigma_0(A_{j+34})$  as a sum of two independent functions.

In summary, we can skip only 1 step in forward. In this case, using IPM is more efficient than using PF.

**Backward computation of  $H_{j-1}$ :** The equation for  $H_{j-1}$  is as follows.

$$\begin{cases} H_{j-1} = A_j - (\Sigma_0(B_j) + \text{Maj}(B_j, C_j, D_j)) \\ \quad - \Sigma_1(F_j) - \text{Ch}(F_j, G_j, H_j) - K_{j-1} - W_{j-1}, \\ W_{j-1} = W_{j+15} - \sigma_1(W_{j+13}) - W_{j+8} + \sigma_0(W_j) \end{cases}$$

We can use either PF or IPM to compute  $H_{j-1}$ . If we use PF, we fix the lower  $l$  bits of  $W_{j+15}$ , and then, the lower  $l$  bits of  $H_{j-1}$  can be computed. If we use IPM, we describe  $H_{j-1}$  as a sum of functions of each neutral word.

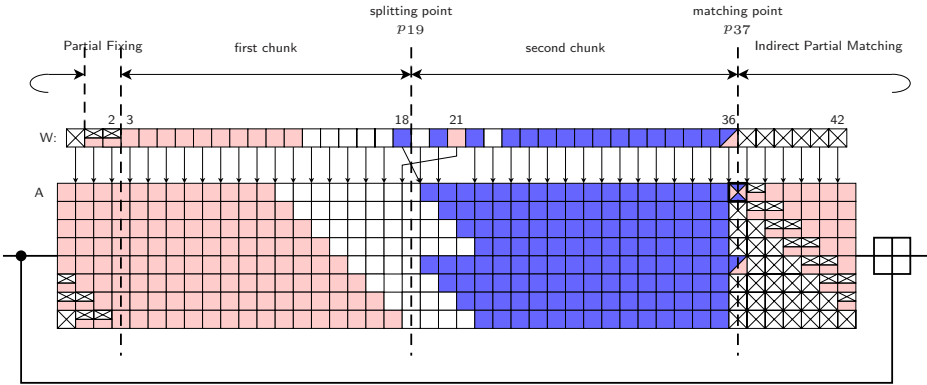


Fig. 3. Separation of chunks and dependencies of state words for SHA-256

**Backward computation of  $H_{j-2}$ :** The equation for  $H_{j-2}$  is as follows.

$$\begin{cases} H_{j-2} = A_{j-1} - (\Sigma_0(B_{j-1}) + \text{Maj}(B_{j-1}, C_{j-1}, D_{j-1})) \\ \quad - \Sigma_1(F_{j-1}) - \text{Ch}(F_{j-1}, G_{j-1}, H_{j-1}) - K_{j-2} - W_{j-2}, \\ W_{j-2} = W_{j+14} - \sigma_1(W_{j+12}) - W_{j+7} + \sigma_0(W_{j-1}) \end{cases}$$

We can use PF to compute  $H_{j-2}$  but cannot use IPM. To describe  $\text{Ch}(F_{j-1}, G_{j-1}, H_{j-1})$  and  $\sigma_0(W_{j-1})$  as a sum of two independent functions seems difficult. If we used PF for  $H_{j-1}$ , we can obtain the lower  $l$  bits of  $\text{Ch}(F_{j-1}, G_{j-1}, H_{j-1})$  and lower  $l - 18$  bits of  $\sigma_0(W_{j-1})$ . Finally, we can compute the lower  $l - 18$  bits of  $H_{j-2}$ .

By the similar analysis, we confirmed that we cannot compute  $H_{j-3}$ . In summary, we can skip 2 steps in backward with PF which fixes the lower  $l, l > 18$  bits of  $W_{j+15}$ .

The attack uses 33-step two-chunk  $W_j, \dots, W_{j+32}$  including 4-step initial structure. Apply PF for  $W_{j-1}$  and  $W_{j-2}$ , and apply IPM for  $W_{j+34}$ . Finally, 43 steps are attacked by skipping additional 7 steps using partial-matching technique.

36 steps ( $W_{j-2}$  to  $W_{j+34}$ ) must be located sequentially. We have several options for  $j$ . We choose  $j = 3$  for the following two purposes; (1)  $W_{13}, W_{14}$ , and  $W_{15}$  can be freely chosen to satisfy message padding rules, (2) pseudo-preimage attack on SHA-224 is possible (explained in Section 7).

We need to fix the lower  $l + 18$  bits of  $W_{18}$  to fix the lower  $l$  bits of  $W_2$  by PF. Besides, we lose half of remaining freedom to construct 4-step initial structure. Hence, we choose  $l$  to balance  $l - 18$  and  $\frac{32-l}{2}$ , i.e. we choose  $l = 23$ .

The overview of the separation of chunks is shown in Fig. 3.  $\blacksquare$  denotes variables depending only on  $W_{21}$ ;  $\blacksquare$  denotes variables depending only on  $W_{18}$ ;  $\boxplus$  and  $\boxminus$  denote registers that can be expressed as a sum modulo  $2^{32}$  of two independent functions of neutral variables  $W_{18}$  and  $W_{21}$ ;  $\boxtimes$  denotes registers with few bits depending only on  $W_{21}$ ;  $\boxtimes$  denotes registers depending on both  $W_{18}$  and  $W_{21}$  in a complicated way.

## 5.2 Attack Procedure

1. Randomly choose the values for internal chaining variable  $p_{19}$  (after the movement of message words by initial structure) and message word  $W_{19}$ . Randomly fix the lower 23 bits of  $W_{18}$ . By using the remaining 9 free bits of  $W_{18}$ , find  $2^5$  values on average that correctly construct the 4-step initial structure, and store them in the table  $T_W$ . Let us call this an initial table-preparation.
2. Randomly choose message words not related to initial structure and neutral words, i.e.  $W_{13}, W_{14}, W_{15}, W_{16}, W_{17}, W_{23}$ . Let us call this an initial configuration.
3. For all  $2^5$  possible  $W_{18}$  in  $T_W$ , compute the corresponding  $W_{20}, W_{22}, W_{24}, W_{25}, W_{26}, W_{27}, W_{28}$  as shown in equations (21). Compute forward and find  $\psi_F(W_{18})$ . Store the pairs  $(W_{18}, \psi_F(W_{18}))$  in a list  $L_F$ .
4. For all  $2^4$  possible values (the lower 4 bits) of  $W_{21}$ , compute backward and find  $\xi_F(W_{21})$ , which is  $\sigma_0(W_{21})$  in this attack, and the lower 4 bits of  $A_{37}$ .
5. Compare the lower 4 bits of  $A_{37} - \sigma_0(W_{21})$  and the lower 4 bits of  $\psi_F(W_{18})$  stored in  $L_F$ .
6. If a match is found, compute  $A_{37}, B_{37}, \dots, H_{37}$  with the corresponding  $W_{18}$  and  $W_{21}$  and check whether results from both directions match each other. If they do, output  $p_0$  and  $W_0, \dots, W_{15}$  as a pseudo-preimage.
7. Repeat steps 2 – 6 for all possible choices of  $W_{13}, W_{16}, W_{17}, W_{21}$ . Note, the MSB of  $W_{13}$  is fixed to 1 to satisfy message padding. Hence, we have  $2^{127}$  freedom for this step.
8. If no freedom remains in step 7, repeat steps 1 – 7.
9. Repeat steps 1 – 8  $2^4$  times to obtain  $2^4$  pseudo-preimages. Then, convert them to a preimage according to [21, Fact.9.99].

## 5.3 Complexity Estimation

We assume the complexity for 1 step function and 1-step message expansion is  $\frac{1}{43}$  compression function operation of 43-step SHA-256. We also assume that the speed of memory access is negligible compared to computation time for step function and message expansion. Complexity for step 1 is  $2^9$  and use a memory of  $2^5$  words. Complexity for step 2 is negligible. In step 3, we compute  $p_{j+1} \leftarrow R_j(p_j, W_j)$  for  $j = 18, 19, \dots, 36$  and corresponding message expansion. Hence, the complexity is  $2^5 \frac{19}{43}$ . We use a memory of  $2^5 \times 2$  words. Similarly, in step 4, we compute  $p_j \leftarrow R_{j+1}^{-1}(p_{j+1}, W_j)$  for  $j = 20, 19, \dots, 2$  and 6 more steps for partial-fixing and partial-matching. Hence, the complexity is  $2^4 \frac{25}{43}$ . In step 5, we compare the match of lower 4 bits of  $2^9 (= 2^4 \cdot 2^5)$  items. Hence,  $2^5$  results will remain. Complexity for step 6 is  $2^5 \frac{8}{43}$  and the probability that all other bits match is  $2^{-252}$ . Hence, the number of remaining pair becomes  $2^{-247} (= 2^5 \cdot 2^{-252})$ . So far, the complexity from step 2 to 6 is  $2^5 \frac{19}{43} + 2^4 \frac{25}{43} + 2^5 \frac{8}{43} = 2^5 \frac{39.5}{43} \approx 2^{4.878}$ . In step 7, this is repeated  $2^{127}$  times and its complexity is  $2^{131.878}$ . Step 8 is computed  $2^{120}$  times. This takes  $2^{120} \cdot (2^9 + 2^{131.878}) \approx 2^{251.9}$ . This is the complexity of the pseudo-preimage attack on SHA-256 43-steps. Finally, at Step 9, preimages are

found with a complexity of  $2^{1+(251.878+256)/2} = 2^{254.939} \approx 2^{254.9}$ . The required memory for finding a pseudo-preimage is  $2^5$  words and  $2^5 \times 2$  words in Steps 1 and 3, which is  $2^5 \times 3$  words. For finding a preimage, we need to store  $2^{1.9}$  pseudo-preimages for unbalanced meet-in-the-middle. This requires a memory of  $2^{1.9} \times 24$  words.

#### 5.4 Attack on 42 Steps SHA-256

When we attack 42 steps, We use 1-step IPM instead of 2-step PF in backward. This allows the attacker to use more message freedom. We choose  $l = 10$  so that  $l$  and  $\frac{32-l}{2}$  are balanced. Because each chunk has at least 10 free bits, the complexity for finding pseudo-preimages is approximately  $2^{246} (= 2^{256} \cdot 2^{-10})$ . The precise evaluation is listed in Table 1.

## 6 Preimage Attack against 46 Steps SHA-512

### 6.1 Basic Strategy for SHA-512

For SHA-512, we can attack more steps than SHA-256 by using PF. This occurs by the following two properties;

- Message-word size of SHA-512 is bigger than that of SHA-256. Hence, the bit-mixing speed of  $\sigma$  and  $\Sigma$  functions are slower than SHA-256.
- The choice of three rotation numbers for the  $\sigma_0$  function is very biased.

To consider the above, we determine to use the message freedom available to the attacker for applying PF as much as possible.

Construction of the 4-step initial structure explained in Section 4 consumes a lot of message freedom. Therefore, we do not use the 4-step initial structure for SHA-512. Construction of the 3-step initial structure also needs a lot of message freedom. On the other hand, 2-step initial structure does not consume any message freedom because we do not have to control Ch functions. Finally, in our attack, we use a 31-step two-chunk including 2-step initial structure. Because construction of 2-step initial structure is much simpler than that of 4-step initial structure, we omit the detailed explanation of the construction.

### 6.2 Chunk Separation

The 31 message words we use are  $W_j$  to  $W_{j+30}$ . We apply the 2-step initial structure for  $W_{j+15}$  and  $W_{j+16}$ , hence the neutral words for the first chunk is  $W_{j+16}$  and for the second chunk is  $W_{j+15}$ . Whenever we change the value of  $W_{j+16}$ , we change the values of  $W_{j+7}, W_{j+6}, \dots, W_j$  by message compensation technique so that the change does not impact to the second chunk. Similarly, whenever we change  $W_{j+15}$ , we change  $W_{j+17}, W_{j+19}, W_{j+21}, W_{j+22}, \dots, W_{j+30}$ . Finally,  $W_j$  to  $W_{j+30}$  can form the 31-step two-chunks.

### 6.3 Partial-Fixing Technique

We skip 6 steps in backward and 2 steps in forward by PF. Namely, we need to partially compute  $W_{j-1}, W_{j-2}, \dots, W_{j-6}$  independently of  $W_{j+15}$ , and partially compute  $W_{j+31}$  and  $W_{j+32}$  independently of  $W_{j+16}$ . The equations for these message words are as follows.

$$\begin{aligned} \underline{W_{j-1}_l} &= \underline{W_{j+15}_l} - \sigma_1(W_{j+13}) - W_{j+8} + \sigma_0(W_j), \\ \underline{W_{j-2}_{l-8}} &= \underline{W_{j+14}} - \sigma_1(W_{j+12}) - W_{j+7} + \sigma_0(\underline{W_{j-1}_l}), \\ \underline{W_{j-3}_{l-16}} &= \underline{W_{j+13}} - \sigma_1(W_{j+11}) - W_{j+6} + \sigma_0(\underline{W_{j-2}_{l-8}}), \\ \underline{W_{j-4}_{l-24}} &= \underline{W_{j+12}} - \sigma_1(W_{j+10}) - W_{j+5} + \sigma_0(\underline{W_{j-3}_{l-16}}), \\ \underline{W_{j-5}_{l-32}} &= \underline{W_{j+11}} - \sigma_1(W_{j+9}) - W_{j+4} + \sigma_0(\underline{W_{j-4}_{l-24}}), \\ \underline{W_{j-6}_{l-40}} &= \underline{W_{j+10}} - \sigma_1(W_{j+8}) - W_{j+3} + \sigma_0(\underline{W_{j-5}_{l-32}}), \\ \\ \underline{W_{j+31}_{l-8}} &= \sigma_1(W_{j+29}) + W_{j+24} + \sigma_0(\underline{W_{j+16}_l}) + W_{j+15}, \\ \underline{W_{j+32}_l} &= \sigma_1(W_{j+30}) + W_{j+25} + \sigma_0(W_{j+17}) + \underline{W_{j+16}_l}. \end{aligned}$$

Remember Table 2. If the lower  $l$  bits of input of  $\sigma_0$  is fixed, we can compute the lower  $l - 8$  bits of its output. In backward, if we fix the lower  $l$  bits of  $W_{j+15}$ , the lower  $l$  bits of  $W_{j-1}$ , the lower  $l - 8$  bits of  $W_{j-2}$ , the lower  $l - 16$  bits of  $W_{j-3}$ , the lower  $l - 24$  bits of  $W_{j-4}$ , the lower  $l - 32$  bits of  $W_{j-5}$ , and the lower  $l - 40$  bits of  $W_{j-6}$  can become independent of the second chunk. This results in computing the lower  $l$  bits of  $H_{j-1}$ , the lower  $l - 8$  bits of  $H_{j-2}$ , the lower  $l - 16$  bits of  $H_{j-3}$ , the lower  $l - 41$  bits of  $H_{j-4}$ , the lower  $l - 49$  bits of  $H_{j-5}$ , and the lower  $l - 57$  bits of  $H_{j-6}$ . Note that we also need to consider  $\Sigma_1$  to compute  $H_{j-4}$ ,  $H_{j-5}$ , and  $H_{j-6}$ . If we fix the lower  $l$  bits of  $W_{j+16}$ , the lower  $l - 8$  bits of  $W_{j+31}$ , and the lower  $l$  bits of  $W_{j+32}$  can become independent of the first chunk. This results in computing the lower  $l - 8$  bits of  $A_{j+32}$ , and the lower  $l - 47$  bits of  $A_{j+33}$ .

Therefore, if we choose  $l = 60$ , we can match the lower 3 bits of  $H_{j-6}$  and 13 bits of  $A_{j+33}$  after we skip 7 steps by the partial-matching technique.

### 6.4 Attack Overview

The attack uses 31-step two-chunk  $W_j, \dots, W_{j+30}$  including 2-step initial structure. Apply PF for  $W_{j-1}, W_{j-2}, \dots, W_{j-6}$ , and  $W_{j+31}, W_{j+32}$ . Finally, 46 steps are attacked by skipping additional 7 steps using partial-matching technique.

39 steps ( $W_{j-6}$  to  $W_{j+32}$ ) must be located sequentially. Because  $W_{j+8}, W_{j+9}, W_{j+10}, W_{j+11}, W_{j+12}, W_{j+13}, W_{j+14}, W_{j+18}, W_{j+20}$  are the message words we fix in advance, we choose  $j = 6$  so that  $W_{14}$  and  $W_{15}$  can be chosen to satisfy message padding rules. The MSB of  $W_{13}$  can also be satisfied. In this chunk separation,  $W_{j+7}$  can be described as  $W_{j+7} = \text{Const} - W_{j+16}$ , where Const is a chosen fixed value and the lower  $l$  bits of  $W_{j+16}$  are fixed. If we fix Const and the MSB of  $W_{j+16}$  to 0 and some value, respectively, and choose the lower  $l$  bits of  $W_{j+16}$  so that the MSB of  $-W_{j+16}$  does not change for all active bits of  $W_{j+16}$ , we can always fix the MSB of  $W_{j+7}$ .



The number of free bits in  $W_{j+16}$  is 3. ( $l = 60$  but we fix the MSB for satisfying padding for  $W_{13}$ .) The number of free bits in  $W_{j+15}$  is 4. Results from both chunks are compared with 3 bits. Therefore, the final complexity of pseudo-preimage attack is approximately  $2^{509}$ . This is converted to a preimage attack whose complexity is approximately  $2^{511.5}$ . For finding pseudo-preimages, this attack needs to store  $2^3$  items. Hence, the required memory is  $2^3 \times 9$  words. For finding preimages, we need to store  $2^{1.5}$  pseudo-preimages for unbalanced meet-in-the-middle. This requires a memory of  $2^{1.5} \times 24$  words.

## 6.5 Attack on 42 Steps SHA-512

When we attack 42 steps, we stop using 1-step PF in forward and 3-step PF in backward. We choose  $l = 40$ . Because each chunk has at least 24 free bits, the complexity for finding pseudo-preimages is approximately  $2^{488} (= 2^{512} \cdot 2^{-24})$ . The precise evaluation is listed in Table 1.

## 7 Remarks

### 7.1 Length of Preimages

The preimages are of at least two blocks, last block is used to find pseudo-preimages and the second last block links to the input chaining of last block. Two block preimages is only possible if we can preset the message words used for encoding the length ( $m_{14}$  and  $m_{15}$  for SHA-2) of last block according to the padding and length encoding rules. In our case, this can be done in the first step of the algorithm. On the other hand, we can leave  $m_{14}$  and  $m_{15}$  as random, later we can still resolve the length using expandable messages [22].

### 7.2 SHA-224 and SHA-384

Our attack on 43 steps SHA-256 can also produce pseudo-preimages for SHA-224 by using the approach by Sasaki [23]. In our attack, we match 4-bits of  $A_{37}$  which is essentially equivalent to  $G_{43}$ . Then, we repeat the attack until other registers randomly match i.e. we wait until  $A_{43}, B_{43}, \dots, F_{43}$ , and  $H_{43}$  randomly match. In SHA-224, the value of  $H_{43}$  is discarded in the output. Hence, we do not have to care the match of  $H_{43}$ , which results in decreasing the complexity by  $2^{32}$  bits. Hence, pseudo-preimages of SHA-224 can be computed with a complexity of  $2^{219.9} (= 2^{251.9} \cdot 2^{-32})$ . Note, this cannot be converted to a preimage attack on SHA-224 because the size of intermediate chaining variable is 256 bits.

If we apply our attack on SHA-512 to SHA-384,  $W_{13}, W_{14}$ , and  $W_{15}$  will depend on neutral words. Hence, we cannot confirm 46 steps SHA-384 can be attacked or not because of padding problem. However, 43 steps SHA-384 can be attacked by using the same chunk as SHA-256. By considering the difference of word size and application of PF, we can optimize the complexity by choosing  $l = 27$  so that  $l - 8$  and  $\frac{64-l}{2}$  are balanced.

### 7.3 Multi-preimages and Second-Preimages

We note that the method converting pseudo-preimage to preimages can be further extended to find multi-preimages. We find first  $k$  block multi-collisions [24], then follow the expandable message [22] to link to the final block. This gives  $2^k$  multi-preimages with additional  $k2^{n/2}$  computations, which is negligible when  $k$  is much smaller than  $2^{(n-t)/2}$  ( $t$  denotes number of bits for each chunk, refer to Section 3). We need additional  $128k$  bytes of memory to store the  $k$  block multi-collisions. Furthermore, most of the message words are randomly chosen, this attack naturally gives second preimages with high probability. Above multi-preimages are most probably multi-second preimages.

## 8 Conclusions

In this paper, we presented preimage attacks on 43 steps SHA-256 and 46 steps SHA-512. The time complexity of the attack for 43-step SHA-256 is  $2^{254.9}$  and it requires  $2^5 \cdot 3$  words of memory. The time complexity of the attack for 46-step SHA-512 is  $2^{511.5}$  and it requires  $2^3 \cdot 9$  words of memory. The number of attacked steps is greatly improved from the best previous attack, in other words, the security margin of SHA-256 and SHA-512 is greatly reduced. Because SHA-256 and SHA-512 have 64 and 80 steps, respectively, they are currently secure.

An open question worth investigating would be to see if the current attacks may still be improved. Perhaps finding  $15 + 4 + 15$  pattern of chunks with 4-step initial structure in the middle or using better partial-fixing technique that would utilize middle bits of the message word would extend the attacks.

The preimage attack we presented creates a very interesting situation for SHA-2 when a preimage attack, covering 43 or 46 steps, is much better than the best known collision attack, with only 24 steps. Our attack does not convert to collision attack because of the complexity above the birthday bound. However, we believe that the existence of such a preimage attack suggests that a collision attack of similar length could be also possible. In that light, the problem of finding collisions for reduced variants of SHA-256 definitely deserves more attention.

## Acknowledgements

We would like to thank Lars R. Knudsen, Christian Rechberger, and the anonymous reviewers for the helpful comments. Jian Guo was supported by the Singapore Ministry of Education under Research Grant T206B2204. Krystian Matusiewicz was supported by grant 274-07-0246 from the Danish Research Council for Technology and Production Sciences.

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